Under the Paperwork Reduction Act of 1995, no pe	ersons are required to respond to a colle	PTO/SB/21 (02-04) Approved for use through 07/31/2006, OMB 0651-0031 atent and Trademark Office; U.S. DEPARTMENT OF COMMERCE action of information unless it displays a valid OMB control number.		
TRADEM!	Application Number	09/597,881		
TRANSMITTAL	Filing Date	June 20, 2000		
FORM	First Named Inventor	Robert RADEMACHER		
(to be used for all correspondence after initial filing)	Art Unit	3628		
	Examiner Name	Frantzy POINVIL		
Total Number of Pages in This Submission 26	Attorney Docket Number	74577-051		
E	NCLOSURES (Check all to	hat apply)		
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Ad Terminal Disclaimer Request for Refund CD, Number of CD(s)	After Allowance communication to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): 1. Appointment of Associate Agent 2. Return Receipt Postcard		
SIGNATUR	E OF APPLICANT, ATTOR	NEY, OR AGENT		
or Individual name Proskauer Rose LLP				
Signature for C. Stella	lotte			
Date May 18, 2004				
CERTI	FICATE OF TRANSMISSIO	DN/MAILING		
I hereby certify that this correspondence is being fa sufficient postage as first class mail in an envelope the date shown below.	acsimile transmitted to the USPTO addressed to: Commissioner for F	or deposited with the United States Postal Service with Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on		

the date shown below.				
Typed or printed name				
	Alexander Migirov			
	+ 000 000		 —	
Signature	flexand	(Vigerov	 Date	May 18, 2004
		/ /	 	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

MAN 2 0 2004 ST

PATENT

Attorney Docket No.: 74577-051

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Robert RADEMACHER, David ADKISSON, and David MALOY

Serial No.

09/597,881

Filing Date

June 20, 2000

Entitled

LEVERAGE MARGIN MONITORING AND MANAGEMENT

Examiner

Frantzy Poinvil

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Group Art Unit:

3628

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GROUP 3600

Mail Stop Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 I hereby certify that this paper is being mailed by first class mail on this date to Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Alexander Migirov

May 18, 2004

Signature

D-4-

AMENDMENT AND PETITION FOR 3 MONTH EXTENSION OF TIME

Sir:

Applicants respond to the Office Action of November 19, 2003. The shortened statutory period for response to the Office Action expired on February 19, 2003.

Applicants therefore petition for a 3-month extension of time extending the time for

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response to May 19, 2004. Please charge the extension of time fee and any other necessary fees to Deposit Account No. 16-2500.

Please amend the above-identified patent application as follows:

Amendments to the Specification begin on page 3 of this paper.

Amendments to the Claims begin on page 5 of this paper.

Remarks begin on page 14 of this paper.